

Circular No.: NSDL/POLICY/DDP/0016

Date: October 15, 2018

Subject: Monthly report of FPI registration on SEBI's website.

Attention of Participants who are registered with SEBI as Designated Depository Participants (DDP's) are invited to SEBI Circular No. **SEBI/ HO/ FPIC/CIR/P/2018/135** dated **October 11, 2018** (copy enclosed) regarding monthly report of FPI registration on SEBI's website.

DDPs are advised to take note of the same and ensure compliance.

For and on behalf of
National Securities Depository Limited

Chirag Shah
Senior Manager

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Risk Assessment Template	October 31st, 2018	Through e-PASS	Circular No. NSDL/POLICY/2018/0050 dated September 25, 2018
Networth Certificate and Audited Financial Statements.	October 30th for financial year 2017-18.	By hard copy to Participant Interface Department.	1. Circular No. NSDL/PI/98/414 dated September 1, 1998. 2. Circular No. NSDL/POLICY/2018/0055 dated October 1, 2018
Internal/ Concurrent Audit Report (April - September)	November 15th every year	Through e-PASS	Circular No. NSDL/POLICY/2018/0042 dated August 3, 2018